

PTO/SB/08a (05-03)

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet	2	of	3
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Complete if Known

Application Number	10/783,717
Filing Date	February 20, 2004
First Named Inventor	Steve G. Baker
Group Art Unit	3731
Examiner Name	
Attorney Docket Number	2234-3-3

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		PCT/US00/17260/WO 00/78227	12/28/00	NDO Surgical, Inc.		
		PCT/US01/29746/WO02/24080	03/28/02	Scimed Life Systems, Inc.		
		PCT/US01/14866/WO01/85034	11/15/01	Scimed Life Systems, Inc.		
		PCT/US01/29593/WO02/28289	04/11/02	Scimed Life Systems, Inc.		
		PCT/US00/30038/WO01/35834	05/25/01	Scimed Life Systems, Inc.		
		WO 2004/019788	03/11/04	Scimed Life Systems, Inc.		
		WO 2004/019787	03/11/04	Scimed Life Systems, Inc.		

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Myths of the esophagus; Lucius D. Hill; The Journal of Thoracic and Cardiovascular Surgery; vol. 98, No. 1; July 1989; pp 1-10	
		The Gastroesophageal Flap Valve; L.D. Hill et al., J Clin Gastroenterol 1999;28(3):194-197; Lippincot williams & Wilkins, Inc., Philadelphia	
		The gastroesophageal flap valve: in vitro and in vivo observations; Lucius D. Hill et al.; Gastrointestinal Endoscopy; Vol. 44, No. 5, 1996; pp 541-547	
		Reappraisal of the flap valve mechanism in the gastroesophageal junction: A study of a new valvuloplasty procedure in cadavers; Kjell B.A. Thor et al.; Acta Chir Scand 153:25-28, 1987	

Examiner Signature		Date Considered	
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